DT07 Rec'd PCT/PTO 2 1 DEC 2004

PTO-1390 (Rev. 12-2004)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| TRANSMITTAL LETTER TO THE UNITED STATES | 3160-01 | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | U.S. APPLICATION NO. (If known see 37 CFR 1.5) | | | | | | | |
| | PRIORITY DATE CLAIMED | | | | | | | |
| INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US2003/20755 2 July 2003 | 3 July 2002 | | | | | | | |
| TITLE OF INVENTION Rheology Control for Adhesives Based on Formaldehyde Resins | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US The Lubrizol Corporation et al. | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EC | D/US) the following items and other information: | | | | | | | |
| 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| The US has been elected (Article 31). | | | | | | | | |
| 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| a. is attached hereto (required only if not communicated by the Internation | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. has been communicated by the International Bureau. | | | | | | | | |
| c. $\overline{\mathbf{X}}$ is not required, as the application was filed in the United States Receiv | ing Office (RO/US). | | | | | | | |
| 6. An English language translation of the International Application as filed (35 U.S.C | C. 371(c)(2)). | | | | | | | |
| a. is attached hereto. | | | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. Amendments to the claims of the International Application under PCT Article 19 | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| a. are attached hereto (required only if not communicated by the Interna | tional Bureau). | | | | | | | |
| b. have been communicated by the International Bureau. | | | | | | | | |
| c. have not been made; however, the time limit for making such amendr | nents has NOT expired. | | | | | | | |
| d. X have not been made and will not be made. | | | | | | | | |
| 8. An English language translation of the amendments to the claims under PCT Ar | ticle 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. An English language translation of the annexes of the International Preliminary E Article 36 (35 U.S.C. 371(c)(5)). | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | | |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | • | | | | | | | |
| 12. An assignment document for recording. A separate cover sheet in compliance w | ith 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. A preliminary amendment. | | | | | | | | |
| 14. An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. A substitute specification. | | | | | | | | |
| 16. A power of attorney and/or change of address letter. | | | | | | | | |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule | 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 18. A second copy of the published International Application under 35 U.S.C. 154(d) | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | | |
| | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | |
| 20. X Other items or information: Form PTO-1449 IDS and Foreign & Other cited a | ırt | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

DT01 Rec'd PCT/PTC 2 1 DEC 2004

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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|---|--|---|---|---|---|----------------|
| 10/518875 PCT/US2003/20755 | | 3160-01 | | | | |
| | ng fees are submitted: | | | | | |
| X a) Basic na | itional fee | | | \$300.00 | \$ | |
| X b) Examination fee \$200.00 | | | | \$ | | |
| X c) Search fee \$500.00 | | | \$ | | | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | \$ 1,000.00 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets | Extra sheets | | Number of each additional 50 or fraction thereof (round up to a whole number) | | | |
| - 100 = | /50 = | | | x \$250.00 | \$ 0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | \$ | | | |
| CLAIMS | NUMBER F | LED | NUMBER EXTRA | RATE | \$ | • |
| Total claims | 10 | - 20 = | | × \$50.00 | \$ | |
| Independent clai | ms 2 | - 3 = | | × \$200.00 | \$ | |
| MULTIPLE DEP | ENDENT CLAIM(S) (if a | pplicable) | | + \$360.00 | \$ | |
| | | | TOTAL OF ABOVE C | | \$ | 1,000.00 |
| Applicant c by 1/2. | laims small entity status | . See 37 CFI | R 1.27. The fees indicated above | ve are reduced | \$ | |
| SUBTOTAL = | | | \$ 1,000.00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | \$ | | | |
| TOTAL NATIONAL FEE = | | | \$ 1,000.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | \$ 0.00 | | | |
| | | | TOTAL FEE | ES ENCLOSED = | \$ | 1,000.00 |
| | | | | | Amount to be refunded: | \$ |
| | | | | | Amount to be | |
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| a A chec | k in the amount of \$ | | to cover the above | a fees is enclosed | charged: | \$ |
| b. X Please | · - | ount No. 12 | to cover the above 2-2275 in the amount of \$ _ | | charged: | \$ |
| b. X Please A dupli c. X The Co | charge my Deposit Accicate copy of this sheet immissioner is hereby at | sount No. 12 s enclosed. | | 1,000.00 to co | charged: | |
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CERTIFICATION UNDER 37 CFR 1.10

Docket No. 3160-01

INTERNATIONAL APPLICATION NO. PCT/US2003/020755

INTERNATIONAL FILING DATE:

2 July 2003

PRIORITY DATE CLAIMED:

3 July 2002

TITLE OF INVENTION:

RHEOLOGY CONTROL FOR ADHESIVES BASED

ON FORMALDEHYDE RESINS

APPLICANTS:

THE LUBRIZOL CORPORATION;

MANKA, John S.; YONKER, Todd K.; PERZ, John S.

ER763191518US

Express Mail mailing number

12/21/03

I hereby certify that the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 and the documents listed below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Transmittal Letter (in duplicate)
Information Disclosure Statement
Form PTO-1449 IDS
Copies of Foreign and "Other" Cited Art listed on Form PTO-1449 IDS
Return Receipt Postcard

Signature of Person Mailing Correspondence

Nancy S. Dedek

Typed or printed name of person mailing correspondence